**Exhibit 5-1: Assessment Strategy and Plan**

**Project:** Big Sky Privacy Rules Complaints  
**Date:** May 26

**Sponsor/Client:**  
Ronda Locke  
**Coordinator:**  
David Xue

**Primary driver of this need:** An increasing trend in complaints from patients and their families which shows that most of our hospitals are violating their confidentiality rights per HIPAA. All of our hospitals and clinics are also at legal risk due to these violations.

<table>
<thead>
<tr>
<th>A</th>
<th>B</th>
<th>C</th>
<th>D</th>
<th>E</th>
<th>F</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Needs Assessment Purpose</strong></td>
<td><strong>Target Population</strong></td>
<td><strong>Sampling Procedures</strong></td>
<td><strong>Data Collection Methods</strong></td>
<td><strong>Data Analysis Methods</strong></td>
<td><strong>Data Analysis Decisions</strong></td>
</tr>
<tr>
<td></td>
<td>Population</td>
<td>Approval</td>
<td>Methods and Instruments</td>
<td>Sources (who/what)</td>
<td>When</td>
</tr>
</tbody>
</table>
| A. Obtain details of Privacy Act and HIPAA Privacy Rules.  
B. Determine reasons why privacy rules are being violated.  
C. Identify typical failures in handling information and develop corrective action scenarios on how to do it right.  
D. Identify ineffective habits that are contributing to the mishandling of medical information  
E. Identify ways to influence proper execution in work setting | a) 3 staff experts on the HIPAA and Privacy Act Rules  
b) 10 nurses  
c) 6 admin staff at clinics  
d) 100 (cross section of employees) | Jason Hendrix for client Ronda Locke  
Judgmental sampling for experts, nurses, and administrators | a) Interview for HIPPA rules  
b) Focus group to identify ineffective habits  
c) Interview for scenarios  
d) Online questionnaire | a) 3 HIPAA experts  
b)10 Nurses and admin  
c) nurses, admin, and experts  
d) Sample of 100  
• HIPAA rules and hospital policy | Week of May 27 through June 3  
Use Zoomerang software to administer questionnaire and analyze respondents data/  
Administrative support to consolidate interview data | Draft by June 6  
Preliminary review by 3 staff experts before June 8  
Results to client by June 10 |

**Notes:**

Copyright © 2007 Ron Drew Stone. All rights reserved.